FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVA |
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Kasher Alan</u> | | 2. Date of Event Requiring Statement (Month/Day/Year) 02/03/2021 | r Name and Ticker or Trading <u>FHWEST AIRLINE</u> | | | |
|---|------------|--|--|---|---------------------------------------|--|
| (Last) SOUTHWEST . 2702 LOVE FIR | | (Middle) | | ionship of Reporting Person(s all applicable) Director Officer (give title below) EVP Daily Oper | 10% Owner Other (specify below) | 5. If Amendment, Date of Original Filed (Month/Day/Year) |
| (Street) DALLAS (City) | TX (State) | 75235 (Zip) | | | | Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|--|--|---|
| Common Stock | 9,504(1) | D | |
| Common Stock | 1,616(2) | I | By ProfitSharing Plan |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | or Exercise | Form: Direct (D) or | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|---------------------|--------------------|---|-------------------------------------|---|------------------------|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Price of Indirect (I) (Instr. 5) Security | | |

Explanation of Responses:

- 1. Holdings include 5,584 restricted stock units previously granted pursuant to the Southwest Airlines Co. Amended and Restated 2007 Equity Incentive Plan, which will entitle the reporting person to one share of common stock for each restricted stock unit that vests. The restricted stock units will vest as follows: 2,413 on February 21, 2021; 1,912 on February 21, 2022; and 1,259 on February 21, 2023.
- $2.\ Holdings\ reflect\ exempt\ transactions\ under\ the\ Issuer's\ ProfitSharing\ Plan.$

/s/ Tim Whisler, on behalf of and as attorney-in-fact for Alan Kasher

02/04/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.