SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

1. Name and Address of Reporting Person*
Van de Ven Michael G
(Last) (First) (Middle)
SOUTHWEST AIRLINES CO.
2702 LOVE FIELD DRIVE
(Street)
DALLAS TX 75235-1908
(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
SOUTHWEST AIRLINES CO [LUV]

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2013

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Director
10% Owner
X Officer (give title below)
Other (specify below)
EVP & Chief Operating Officer

6. Individual or Joint/Group Filing (Check Applicable Line)
X Form filed by One Reporting Person
Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/01/2013		S		5,300	D	$17.36	367,823	D	
Common Stock	11/01/2013		S		1,100	D	$17.361	366,723	D	
Common Stock	11/01/2013		S		5,000	D	$17.362	361,723	D	
Common Stock	11/01/2013		S		1,900	D	$17.365	359,823	D	
Common Stock	11/01/2013		S		100	D	$17.368	359,723	D	
Common Stock	11/01/2013		S		6,900	D	$17.37	352,823	D	
Common Stock	11/01/2013		S		1,300	D	$17.371	351,523	D	
Common Stock	11/01/2013		S		1,700	D	$17.372	349,823	D	
Common Stock	11/01/2013		S		2,724	D	$17.375	347,099	D	
Common Stock	11/01/2013		S		2,400	D	$17.38	344,699	D	
Common Stock	11/01/2013		S		300	D	$17.381	344,399	D	
Common Stock	11/01/2013		S		2,000	D	$17.382	342,399	D	
Common Stock	11/01/2013		S		3,400	D	$17.383	338,999	D	
Common Stock	11/01/2013		S		233	D	$17.384	338,766	D	
Common Stock	11/01/2013		S		1,550	D	$17.385	337,216	D	
Common Stock	11/01/2013		S		2,001	D	$17.39	335,215	D	
Common Stock	11/01/2013		S		600	D	$17.391	334,615	D	
Common Stock	11/01/2013		S		600	D	$17.392	334,015	D	
Common Stock	11/01/2013		S		100	D	$17.3925	333,915	D	
Common Stock	11/01/2013		S		6,100	D	$17.395	327,815	D	
Common Stock	11/01/2013		S		15,879	D	$17.4	311,936	D	
Common Stock	11/01/2013		S		1,500	D	$17.401	310,436	D	
Common Stock	11/01/2013		S		3,200	D	$17.402	307,236	D	
Common Stock	11/01/2013		S		200	D	$17.403	307,036	D	
Common Stock	11/01/2013		S		6,500	D	$17.405	300,536	D	
Common Stock	11/01/2013		S		10,909	D	$17.41	289,627	D	
Common Stock	11/01/2013		S		400	D	$17.4107	289,227	D	
Common Stock	11/01/2013		S		1,500	D	$17.411	287,727	D	
Common Stock	11/01/2013		S		4,600	D	$17.412	283,127	D	
Common Stock	11/01/2013		S		300	D	$17.4125	282,827	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Remarks:

Remarks: Form Two of Three

/s/ Marilyn R. Post, on behalf of and as attorney-in-fact for Michael G. Van de Ven   11/05/2013

** Signature of Reporting Person   Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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